

01-09-00



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PTO/SB/05 (12/97)

Approved for use through 09/30/00. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 023341.P002

Total Pages 5

First Named Inventor or Application Identifier Eugene M. Pester III

Express Mail Label No. EM531599526US

Jc584 U.S. PTO
09/478078
01/05/00

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D. C. 20231

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. Specification (Total Pages 86)
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claims
 - Abstract of the Disclosure
3. Drawings(s) (35 USC 113) (Total Sheets 48)
4. Oath or Declaration (Total Pages 5)
 - a. Newly Executed (Original or Copy)
 - b. Copy from a Prior Application (37 CFR 1.63(d))
(for Continuation/Divisional with Box 17 completed) (Note Box 5 below)
 - i. DELETIONS OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. Incorporation By Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. Microfiche Computer Program (Appendix)

7. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
a. Computer Readable Copy
b. Paper Copy (identical to computer copy)
c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. Assignment Papers (cover sheet & documents(s))
9. a. 37 CFR 3.73(b) Statement (where there is an assignee)
 b. Power of Attorney
10. English Translation Document (if applicable)
11. a. Information Disclosure Statement (IDS)/PTO-1449
 b. Copies of IDS Citations
12. Preliminary Amendment
13. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
14. a. Small Entity Statement(s)
b. Statement filed in prior application, Status still proper and desired
15. Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. Other:

Copy of Postcard w/Express Mail Stamp

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

Continuation Divisional Continuation-in-part (CIP)
of prior application No: _____

18. **Correspondence Address**

Customer Number or Bar Code Label

(Insert Customer No. or Attach Bar Code Label here)
or
 Correspondence Address Below

NAME Maria McCormack Sobrino Reg. No. 31,639 Maria McCormack Sobrino
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP 1/5/08

ADDRESS 12400 Wilshire Boulevard
Seventh Floor

CITY Los Angeles STATE California ZIP CODE 90025-1026

Country U.S.A. TELEPHONE (408) 720-8598 FAX (408) 720-9397

FEE TRANSMITTAL FOR FY 2000**TOTAL AMOUNT OF PAYMENT (\$)** **\$892.00****Complete if Known:**Application No. UnassignedFiling Date January 5, 2000First Named Inventor Eugene M. Pester IIIGroup Art Unit UnassignedExaminer Name UnassignedAttorney Docket No. 023341.P002**METHOD OF PAYMENT** (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666

Deposit Account Name _____

- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

2. Payment Enclosed:

 Checks (\$852.00 & \$40.00) Money Order Other**FEE CALCULATION****1. BASIC FILING FEE**

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee	Fee	Fee	
Code	(\$)	Code	(\$)
101	690	201	345
106	310	206	155
107	480	207	240
108	690	208	345
114	150	214	75
		Utility application filing fee	
		Design application filing fee	
		Plant filing fee	
		Reissue filing fee	
		Provisional application filing fee	

SUBTOTAL (1) \$ 690.00**2. EXTRA CLAIM FEES**

	<u>Extra Claims</u>	<u>Fee from below</u>	<u>Fee Paid</u>
Total Claims	<u>29</u>	<u>- 20** = 9</u>	X <u>\$18.00</u> = <u>\$162.00</u>
Independent Claims	<u>3</u>	<u>- 3** = 0</u>	X <u>\$78.00</u> = <u>\$ 00</u>
Multiple Dependent			=

**Or number previously paid, if greater; For Reissues, see below.

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>
Fee	Fee	Fee
Code	(\$)	Code
103	18	203
102	78	202
104	260	204
109	78	209
110	18	210
		Claims in excess of 20
		Independent claims in excess of 3
		Multiple dependent claim, If not paid
		**Reissue independent claims over original patent
		**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ 162.00

12/29/99

- 1 -

PTO/SB/17 (6/99)

Patent fees are subject to annual revisions. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid.

See Forms PTO/SB/09-12

FEE CALCULATION (continued)

3. ADDITIONAL FEES

<u>Large Entity</u>		<u>Small Entity</u>			
Fee Code	Fee (\$)	Fee Code	Fee (\$)	<u>Fee Description</u>	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for response within first month	
116	380	216	190	Extension for response within second month	
117	870	217	435	Extension for response within third month	
118	1,360	218	680	Extension for response within fourth month	
128	1,850	228	925	Extension for response within fifth month	
119	300	219	150	Notice of Appeal	
120	300	220	150	Filing a brief in support of an appeal	
121	260	221	130	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive unavoidably abandoned application	
141	1,210	241	605	Petition to revive unintentionally abandoned application	
142	1,210	242	605	Utility issue fee (or reissue)	
143	430	243	215	Design issue fee	
144	580	244	290	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	\$40.00
146	760	246	380	For filing a submission after final rejection (see 37 CFR 1.129(a))	
149	760	249	380	For each additional invention to be examined (see 37 CFR 1.129(a))	
Other fee (specify)					
Other fee (specify)					

SUBTOTAL (3) \$ 40.00

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

Typed or Printed Name: Maria McCormack Sobrino
Signature Maria McCormack Sobrino Date January 5, 2000
Reg. Number 31,639 Deposit Account User ID _____
(complete if applicable)

EXPRESS MAIL CERTIFICATE OF MAILING

"Express Mail" mailing label number: EM531599526US

Date of Deposit: January 5, 2000

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231

Geneva Walls

(Typed or printed name of person mailing paper or fee)


Geneva Walls
(Signature of person mailing paper or fee)

January 5, 2000

(Date signed)

Serial/Patent No.: *** Filing/Issue Date: January 5, 2000
Client: Ellipsys Technologies, Inc. (Herewith)
Title: EXPERT CALL ANALYZER AND NEXT GENERATION TELEPHONY NETWORK
CONFIGURATION SYSTEM

BSTZ File No.: 023341.P002
Date Mailed: January 5, 2000

Atty/Secty Initials: MES/DNT/gw
Docket Due Date:

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

Amendment/Response (____ pgs.) Express Mail No.: EM531599526US Check No. 32625
 Appeal Brief (____ pgs.) (in triplicate) Month(s) Extension of Time Amt: \$852.00
 Application - Utility (86 pgs., with cover and abstract) Information Disclosure Statement & PTO-149 3 pgs. Check No. 32626
 Application - Rule 1.53(b) Continuation (____ pgs.) Issue Fee Transmittal Amt: \$40.00
 Application - Rule 1.53(b) Divisional (____ pgs.) Notice of Appeal
 Application - Rule 1.53(b) CIP (____ pgs.) Petition for Extension of Time
 Application - Rule 1.53(d) CPA Transmittal (____ pgs.) Petition for _____
 Application - Design (____ pgs.) Postcard
 Application - PCT (____ pgs.) Power of Attorney (____ pgs.)
 Application - Provisional (____ pgs.) Preliminary Amendment (____ pgs.)
 Assignment and Cover Sheet Reply Brief (____ pgs.)
 Certificate of Mailing (**Express Mail**) Response to Notice of Missing Parts
 Declaration & POA (5 pgs.) (**Executed**) Small Entity Declaration for Indep. Inventor/Small Business
 Disclosure Docs & Qig & Cpy of Invntr SIGNED Letter (____ pgs.) Transmittal Letter, in duplicate {2 pgs.}
 Drawings: 48 # of sheets includes 48 figures Fee Transmittal, in duplicate {2 pgs.}

Other: Copy of Postcard w/Express Mail Stamp